

Broking

		Investor	Complaint [Data for Bro	king for Aug	ust 2024		
SN	Received from	Carried forward from previou s month	Receive d during the month	Total Pending	Resolved *	end o	Pending at the end of the month**	
						Pendin g for less than 3 months	Pendin g for more than 3 months	
1	2	3	4	5	6	7	7	8
1	Directly from Investors	0	3	1	2	0	0	3
2	SEBI (SCORES)	1	2	0	3	0	0	2
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources, ODR	0	8	0	8	0	0	2
	Grand Total	1	13	1	13	0	0	



	Trend of Monthly Disposal of Complaints - Broking								
Sr No	Month	Carried forward from previous month	forward from Received		Pending**				
1	2	3	4	5	6				
1	Apr-2024	3	15	17	1				
2	May-2024	1	16	12	5				
3	Jun-2024	5	10	14	1				
4	Jul-2024	1	7	7	1				
5	Aug-2024	1	13	13	1				

	Trend of annual disposal of complaints (Broking)									
SN	Year	Carried Year forward from previous year		Resolved during the year	Pending at the end of the year					
1	2024-25	3	63	62	1					
2	2023-24	2	199	198	3					
3	2022-23	60	2239	2297	2					
4	2021-22	36	3625	3601	60					
5	2020-21	86	4636	4680	42					
6	2019-20	120	3483	3517	86					
7	2018-19	142	3014	3036	120					

Depository

	Investor Complaint Data for Depository for August, 2024								
S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	_	Pending at the end of the month**		
						Pending for less than 3 months	Pending for more than 3 months		
1	2	3	4	5	6	7		8	
1	Directly from Investors	0	6	1	5	1	-	3	
2	SEBI (SCORES)	0	8	0	8	0	-	2	
3	Stock Exchanges	0	0	0	0	0	-	0	
4	Other Sources, ODR	0	10	0	10	0	-	2	
	Grand Total	0	24	1	23	1	0		



	Trend of Monthly Disposal of Complaints - Depository								
Sr No	Month	Carried forward from previous month	rward from Received Resolve		Pending**				
1	2	3	4	5	6				
1	Apr-2024	0	4	4	0				
2	May-2024	0	14	13	0				
3	Jun-2024	1	18	19	0				
4	Jun-2024	0	7	7	0				
5	Jul-2024	0	7	7	0				
5	Aug-2024	0	24	23	1				

	Trend of annual disposal of complaints (Depository)									
SN	Year	Carried Received during the previous year Year Resolved during the year		Pending at the end of the year						
1	2024-25	0	74	73	1					
2	2023-24	0	141	141	0					
3	2022-23	1	145	146	0					
4	2021-22	6	288	293	1					
5	2020-21	86	4636	4680	42					
6	2019-20	120	3483	3517	86					
7	2018-19	142	3014	3036	120					

Investment Advisory (BAS)

	Investor Complaint Data for Investment Advisory (BAS) for Aug, 2024								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)	
						Pending for less than 3 months	Pending for more than 3 months		
1	2	3	4	5	6	7		8	
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
	Grand Total	0	0	0	0	0	0	0	



	Trend of Monthly Disposal of Complaints - Investment Advisory (BAS)								
Sr No	Month	Carried forward th from previous month		Resolved*	Pending**				
1	2	3	4	5	6				
1	Apr-2024	Nil	Nil	Nil	Nil				
2	May-2024	Nil	Nil	Nil	Nil				
3	Jun-2024	Nil	Nil	Nil	Nil				
4	Jul-2024	Nil	Nil	Nil	Nil				
5	Aug-2024	Nil	Nil	Nil	Nil				

	Trend of Annual Disposal of Complaints - Investment Advisory (BAS)							
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year			
1	2018-19	Nil	Nil	Nil	Nil			
2	2019-20	Nil	Nil	Nil	Nil			
3	2020-21	Nil	Nil	Nil	Nil			
4	2021-22	Nil	1	1	Nil			
5	2022-23	Nil	1	1	Nil			
6	2023-24	Nil	Nil	Nil	Nil			
7	2024-25	Nil	Nil	Nil	Nil			

Research

	Investor Complaint Data for Research for Aug, 2024								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)	
						Pending for less than 3 months	Pending for more than 3 months		
1	2	3	4	5	6	7		8	
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	Nil	

	Trend of Monthly Disposal of Complaints - Research								
Sr No	Month	Carried forward Month from Red previous month		Resolved*	Pending**				
1	2	3	4	5	6				
1	Apr-2024	Nil	Nil	Nil	Nil				
2	May-2024	Nil	Nil	Nil	Nil				
3	Jun-2024	Nil	Nil	Nil	Nil				
4	Jul-2024	Nil	Nil	Nil	Nil				
5	Aug-2024	Nil	Nil	Nil	Nil				

Trend of Annual Disposal of Complaints - Research

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SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	Nil	Nil	Nil	Nil
2	2019-20	Nil	Nil	Nil	Nil
3	2020-21	Nil	Nil	Nil	Nil
4	2021-22	Nil	Nil	Nil	Nil
5	2022-23	Nil	Nil	Nil	Nil
6	2023-24	Nil	Nil	Nil	Nil
7	2024-25	Nil	Nil	Nil	Nil