

**Broking**

Investor Complaint Data for Broking for February 2023								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	1	165	5	161	5	-	4
2	SEBI (SCORES)	0	0	0	0	0	-	
3	Stock Exchanges	1	7	2	6	2	-	3
4	Other Sources (if any)	0	0	0	0	0	-	0
	<b>Grand Total</b>	<b>2</b>	<b>172</b>	<b>7</b>	<b>167</b>	<b>7</b>	<b>0</b>	

Trend of Monthly Disposal of Complaints - Broking					
Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2022	47	408	400	55
2	May-2022	55	139	172	22
3	Jun-2022	22	328	283	67
4	Jul-2022	67	158	199	26
5	Aug-2022	26	92	95	23
6	Sep-2022	23	271	257	37
7	Oct-2022	37	135	125	47
8	Nov-2022	47	202	196	53
9	Dec-2022	53	146	196	3
10	Jan-2023	3	111	112	2
11	Feb-2023	2	172	167	7

Trend of annual disposal of complaints (Broking)					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2022-23	60	2151	2204	7
2	2021-22	36	3625	3601	60
3	2020-21	86	4636	4680	42
4	2019-20	120	3483	3517	86
5	2018-19	142	3014	3036	120
6	2017-18	109	3550	3517	142

**Depository**

Investor Complaint Data for Depository for February 2023								
S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	1	0	1	0	-	1
2	SEBI (SCORES)	1	0	0	1	0	-	3
3	Stock Exchanges	0	0	0	0	0	-	0
4	Other Sources (if any)	1	12	0	13	0	-	4
	<b>Grand Total</b>	<b>2</b>	<b>13</b>	<b>0</b>	<b>15</b>	<b>0</b>	<b>0</b>	

<b>Trend of Monthly Disposal of Complaints - Depository</b>					
<b>Sr No</b>	<b>Month</b>	<b>Carried forward from previous month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Pending**</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1	Apr-2022	14	28	39	3
2	May-2022	3	13	13	3
3	Jun-2022	3	9	12	0
4	Jul-2022	0	6	6	0
5	Aug-2022	0	7	7	0
6	Sep-2022	0	32	32	0
7	Oct-2022	0	9	7	2
8	Nov-2022	2	3	3	2
9	Dec-2022	2	7	7	2
10	Jan-2023	2	10	10	2
11	Feb-2023	2	13	15	0

<b>Trend of annual disposal of complaints (Depository)</b>					
<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2022-23	1	138	139	0
2	2021-22	6	288	293	1
3	2020-21	86	4636	4680	42
4	2019-20	120	3483	3517	86
5	2018-19	142	3014	3036	120
6	2017-18	109	3550	3517	142

**Investment Advisory (BAS)**

Investor Complaint Data for Investment Advisory (BAS) for February 2023								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	0	0	0	0	0	0	0

Trend of Monthly Disposal of Complaints - Investment Advisory (BAS)					
Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2022	Nil	Nil	Nil	Nil
2	May-2022	Nil	Nil	Nil	Nil
3	Jun-2022	Nil	Nil	Nil	Nil
4	Jul-2022	Nil	Nil	Nil	Nil
5	Aug-2022	Nil	Nil	Nil	Nil
6	Sep-2022	Nil	1	1	0
7	Oct-2022	Nil	Nil	Nil	Nil
8	Nov-2022	Nil	Nil	Nil	Nil
9	Dec-2022	Nil	Nil	Nil	Nil
10	Jan-2023	Nil	Nil	Nil	Nil
11	Feb-2023	Nil	Nil	Nil	Nil

Trend of Annual Disposal of Complaints - Investment Advisory (BAS)					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	Nil	Nil	Nil	Nil
2	2018-19	Nil	Nil	Nil	Nil
3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	1	1	Nil
6	2022-23	Nil	1	1	Nil

**Research**

Investor Complaint Data for Research for February 2023								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil	Nil	Nil

Trend of Monthly Disposal of Complaints - Research					
Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2022	Nil	Nil	Nil	Nil
2	May-2022	Nil	Nil	Nil	Nil
3	Jun-2022	Nil	Nil	Nil	Nil
4	Jul-2022	Nil	Nil	Nil	Nil
5	Aug-2022	Nil	Nil	Nil	Nil
6	Sep-2022	Nil	Nil	Nil	Nil
7	Oct-2022	Nil	Nil	Nil	Nil
8	Nov-2022	Nil	Nil	Nil	Nil
9	Dec-2022	Nil	Nil	Nil	Nil
10	Jan-2023	Nil	Nil	Nil	Nil
11	Feb-2023	Nil	Nil	Nil	Nil

Trend of Annual Disposal of Complaints - Research					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	Nil	Nil	Nil	Nil
2	2018-19	Nil	Nil	Nil	Nil
3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	Nil	Nil	Nil
5	2022-23	Nil	Nil	Nil	Nil