

**Broking**

Investor Complaint Data for Broking for January, 2025								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	1	0	1	0	0	3
2	SEBI (SCORES)	0	8	1	7	0	0	2
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources, ODR	0	4	0	4	0	0	2
	<b>Grand Total</b>	<b>0</b>	<b>13</b>	<b>1</b>	<b>12</b>	<b>0</b>	<b>0</b>	

Trend of Monthly Disposal of Complaints - Broking					
Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2024	3	15	17	1
2	May-2024	1	16	12	5
3	Jun-2024	5	10	14	1
4	Jul-2024	1	7	7	1
5	Aug-2024	1	13	13	1
6	Sep-2024	1	12	8	5
7	Oct-2024	5	12	13	4
8	Nov-2024	4	32	31	4
9	Dec-2024	4	17	21	0
10	Jan-2025	0	13	12	1

Trend of annual disposal of complaints (Broking)					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2024-25	3	149	150	1
2	2023-24	2	199	198	3
3	2022-23	60	2239	2297	2
4	2021-22	36	3625	3601	60
5	2020-21	86	4636	4680	42
6	2019-20	120	3483	3517	86
7	2018-19	142	3014	3036	120

**Depository**

Investor Complaint Data for Depository for January, 2025								
S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolutio n time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	1	1	0	1	-	3
2	SEBI (SCORES)	1	3	1	3	1	-	2
3	Stock Exchanges	0	0	0	0	0	-	0
4	Other Sources, ODR	0	0	0	0	0	-	2
	<b>Grand Total</b>	<b>1</b>	<b>4</b>	<b>2</b>	<b>3</b>	<b>2</b>	<b>0</b>	

<b>Trend of Monthly Disposal of Complaints - Depository</b>					
<b>Sr No</b>	<b>Month</b>	<b>Carried forward from previous month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Pending**</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1	Apr-2024	0	4	4	0
2	May-2024	0	14	13	0
3	Jun-2024	1	18	19	0
4	Jun-2024	0	7	7	0
5	Jul-2024	0	7	7	0
6	Aug-2024	0	24	23	1
7	Sep-2024	1	8	6	3
8	Oct-2024	3	8	11	0
9	Nov-2024	0	10	9	1
10	Dec-2024	1	9	9	1
11	Jan-2025	1	4	3	2

<b>Trend of annual disposal of complaints (Depository)</b>					
<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2024-25	0	113	111	2
2	2023-24	0	141	141	0
3	2022-23	1	145	146	0
4	2021-22	6	288	293	1
5	2020-21	86	4636	4680	42
6	2019-20	120	3483	3517	86
7	2018-19	142	3014	3036	120

**Investment Advisory (BAS)**

Investor Complaint Data for Investment Advisory (BAS) for January, 2025								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	0	0	0	0	0	0	0

Trend of Monthly Disposal of Complaints - Investment Advisory (BAS)					
Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2024	Nil	Nil	Nil	Nil
2	May-2024	Nil	Nil	Nil	Nil
3	Jun-2024	Nil	Nil	Nil	Nil
4	Jul-2024	Nil	Nil	Nil	Nil
5	Aug-2024	Nil	Nil	Nil	Nil
6	Sep-2024	Nil	Nil	Nil	Nil
7	Oct-2024	Nil	Nil	Nil	Nil
8	Nov-2024	Nil	Nil	Nil	Nil
9	Dec-2024	Nil	Nil	Nil	Nil
10	Jan-2025	Nil	Nil	Nil	Nil

Trend of Annual Disposal of Complaints - Investment Advisory (BAS)					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	Nil	Nil	Nil	Nil
2	2019-20	Nil	Nil	Nil	Nil
3	2020-21	Nil	Nil	Nil	Nil
4	2021-22	Nil	1	1	Nil
5	2022-23	Nil	1	1	Nil
6	2023-24	Nil	Nil	Nil	Nil
7	2024-25	Nil	Nil	Nil	Nil

**Research**

Investor Complaint Data for Research for January, 2025								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil	Nil	Nil

<b>Trend of Monthly Disposal of Complaints - Research</b>					
<b>Sr No</b>	<b>Month</b>	<b>Carried forward from previous month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Pending**</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1	Apr-2024	Nil	Nil	Nil	Nil
2	May-2024	Nil	Nil	Nil	Nil
3	Jun-2024	Nil	Nil	Nil	Nil
4	Jul-2024	Nil	Nil	Nil	Nil
5	Aug-2024	Nil	Nil	Nil	Nil
6	Sep-2024	Nil	Nil	Nil	Nil
7	Oct-2024	Nil	Nil	Nil	Nil
8	Nov-2024	Nil	Nil	Nil	Nil
9	Dec-2024	Nil	Nil	Nil	Nil
10	Jan-2025	Nil	Nil	Nil	Nil

<b>Trend of Annual Disposal of Complaints - Research</b>					
<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2018-19	Nil	Nil	Nil	Nil
2	2019-20	Nil	Nil	Nil	Nil
3	2020-21	Nil	Nil	Nil	Nil
4	2021-22	Nil	Nil	Nil	Nil
5	2022-23	Nil	Nil	Nil	Nil
6	2023-24	Nil	Nil	Nil	Nil
7	2024-25	Nil	Nil	Nil	Nil